

# CHANROBLES LAWNET

## **AMBASSADOR LILIA R. BAUTISTA** *Former Chairperson, Securities and Exchange Commission*

### **PREVIOUS POSITIONS HELD:**

Chairperson, Securities and Exchange Commission  
Ex-Officio Member, Anti-Money Laundering Council  
Senior Undersecretary and Special Trade Negotiator, Department of Trade and Industry  
(July 21, 1999 – March 26, 2000)  
Ambassador Extraordinary and Plenipotentiary, Chief of Mission, Class I and Permanent  
Representative to the United Nations Office, World Trade Organization, World  
Health Organization, International Labor Organization, and other international  
organizations in Geneva, Switzerland (December 1992 – June 30, 1999)  
Acting Secretary, Department of Trade and Industry (February–June 1992)  
Chairman Ex-Officio, Board of Investments  
(February–June)      National Development Company  
   Garments and Textile Export Board  
   Philippine International Trading Corp.  
   Export Processing Zone Authority  
   Center for International Trade Expositions and Missions, Inc.  
   Small and Medium Development Council  
   Export and Investment Development Council  
Ex-Officio Member – Monetary Board (February to June 1992) and various government  
corporations and inter-agency bodies  
Undersecretary/Deputy Minister, Department of Trade and Industry  
Concurrently Governor, Board of Investments and Executive Director and Vice-  
Chairman of the Technology Transfer Board  
Assistant Secretary/Assistant Minister, Department of Industry subsequently Department  
of Trade and Industry  
Legal Officer, Chief Legal Officer, Assistant Director, Board of Investments  
Hearing Officer of the Juvenile and Domestic Relations Court of Manila  
Legal Officer of the Office of the President (on detail from Agricultural Credit  
Administration)  
Legal Editor, Corporation Trust Co., New York  
Legal Editor, Prentice Hall, New Jersey

### **EDUCATIONAL BACKGROUND:**

LL.M, University of Michigan (Dewitt Fellow)  
M.B.A. University of the Philippines

Ll.B, University of the Philippines  
Special course in corporate finance and organization, New York University  
Investment negotiation course, Georgetown University  
Export and Trade Promotion, World Trade Institute

**AREAS OF SPECIALIZATION:**

International Trade Law  
Securities Law  
Foreign Investment Law

**HONORS, SCHOLARSHIPS, GRANTS, FELLOWSHIPS, AWARDS RECEIVED:**

Papal Awardee, Dame, Order of St. Gregory the Great (2005)  
Study Tour Grants  
UNDP-INVESTMENT PROMOTIONS office in Brussels, Frankfurt, Dusseldorf, Bucharest, Prague (1973)  
UNIDO-Technology Transfer offices in Latin America (1980)  
WIPO Patent Offices in the US and Russia  
U.S. AID-U.S. SEC, NASDAQ, American and New York Exchanges (2000)  
AUS. AID-Demutualized Stock Exchange and Australian Securities Commission (2001)  
CIDA- Vancouver and Toronto Stock Exchanges Leadership Training Course (2002)  
ADB- Tokyo and Osaka Stock Exchanges (2003)

FIDA Awardee (Government Service, 1986), Philippine Chapter, Federation Int'l. de Abogadas  
Mariang Maya Awardee (Law and Government Service, 1986) Sigma Delta Phi Sorority, Philippine Chapter, University of the Philippines  
Eisenhower Fellow, 1983  
OLMA Awardee (Public Administration, 1979), Caritas Foundation, Inc.  
U.S. Visitor's Program Fellow, 1979  
TOWNS Awardee (Public Administration, 1977) TOWNS Foundation  
College Scholar for several semesters, University of the Philippines  
Member, Order of the Purple Feather (U.P. College of Law Honor Society)  
Member, Phi Kappa Phi Honor Society (U.P. Chapter)  
Member, Pi Gamma Mu Honor Society (U.P Chapter)  
Dewitt Fellow, University of Michigan  
Prentice Hall Scholar, New York University

**PREVIOUS EXPERIENCES:**

2000 (March) – 2004 August

As Chairperson, Securities & Exchange Commission

- Reorganized the SEC
- Pushed for the demutualization of the Philippine Stock Exchange
- Implemented reforms in securities regulation
- Pushed for SEC computerization
- Pushed for corporate governance
- SEC made it consistently within the top ten performer per Makati Business Club survey
- SEC became No. 1 in sincerity in the fight against corruption per SWS surveys in 2003 and 2004
- Leader of Philippine delegation in various meetings of securities regulators in Australia, Sweden, Korea and New Zealand and roundtable on governance in Tokyo, Japan

**1999 (July) – 2000 (March)**

As Senior Undersecretary and Special Trade Negotiator:

- Acted as Chief Operations Officer of DTI
- Responsible for international trade policy
- Delegate to the WTO Seattle Meeting (December, 1999)
- Head Delegate to the ASEAN AFTA Commission (September, 1999)
- Deputy Head, AEM Meeting (September, 1999)
- Delegate to the CAIRNS Meeting in Argentina (1999)
- Delegate to the APEC Ministerial Meeting in New Zealand (1999)
- Represented the Secretary of Trade in various committees and councils relating to WTO and international trade matters

**1992-1999 (June)**

As Ambassador to the United Nations, WTO and other International Organizations, held the following positions:

- Chairperson, GATT Tariff Concession Committee (1994)
- Chairperson, Drafting Group of the Preparatory Committee and Vice-Chairman of the Preparatory Committee meeting on International Decade on Natural Disaster Reduction (Geneva, March 1994)
- Chairperson, GATT/WTO Negotiating Group on Movement of Natural Persons (1994-June 1995)
- Governor, Common Fund for Commodities (1994-1999)
- Executive Director of the Board of the Common Fund (1996-1997)
- Chairperson, Group of 77 at the Berlin Meeting on Climate Change (April 1995)

- Chairperson, 1995 Meeting of the UNCTAD Commission on Foreign Investment and Transnational Corporation (April 1995)
- Chairperson, for 1996 of the Council for Trade in Service of the World Trade Organization
- Chairperson, for 1996-1997 of the UNCTAD Commission on Investment, Technology and Related Financial Issues
- Vice-Chairperson of the Commission of Human Rights for 1997
- Chairperson, Structural Adjustment Programs and Their Effects on Social, Economic and Cultural Rights of the Human Rights Commission (1997-1999)
- Chairperson, World Intellectual Property Organization (WIPO) Coordination Committee (1997-1998)

### **1973-1992**

- Member of various negotiating panels for several government projects, trade and investment agreements, and accession to the GATT Code of Subsidies, and the Uruguay Round
- Member of several investment and trade missions to the United States and Europe
- Short-term consultation:
  - Investment Laws (NIDA of Papua New Guinea, 1974)
  - Technology Transfer Regulations (China, 1981)
  - Foreign Investment Laws (Pakistan, 1984)
- Lecturer in various seminars on doing business in the Philippines (various cities in the U.S. and Europe), as well as on IPR issues in the Uruguay Round, restrictive business practices, investment incentives and technology transfer (Colombo, Nepal, Kuwait, Ghana, Kuala Lumpur, Singapore, Jakarta, Bangkok, etc.)
- Represented the Philippine government in various international conferences on restrictive business practices and technology transfer
- Conducted a study tour of U.S. and Russian patent offices and technology transfer offices in Latin America
- Formerly Assistant Professor in the M.B.A. program of the Polytechnic University of the Philippines (PUP)
- Executive Director and Vice-Chairman of the Technology Transfer Board from its creation until 1986
- Chairman, ASEAN Committee on Industry, Minerals and Energy up to October 1992
- Leader of the Senior Economic Officials to ASEAN up to February 1992
- Arbitrator and Conciliator (Phils.) – International Convention on the Settlement of Investment Disputes (ICSID)
- Member of the Advisory Council-Asian Pacific Tax and Investment Research Centre (Singapore)

- Chairman, Sub-Committee on Trade and Investment Agreements of TRM
- Chairman, Steering Committee on the Uruguay Round of Multilateral Trade Negotiations up to 1992
- Chairman, Joint Committee Meetings with Australia – 1990, 1991 and 1992
- Former Member of the Board of the following Corporations:
  - Philippine National Oil Company
  - ASEAN Copper Holdings, Inc.
  - Batangas Land Company
  - Kamayan Realty Corporation
  - NADECO Realty Corporation
  - G.Y. Realty Co.
  - Erectors, Inc.
  - Philippine Phosphate Fertilizer Corp.
  - Eastern Metropolitan Bus Co.
  - International Corporate Bank
  - Center for International Trade Exposition and Missions, Inc.

-Former Corporate Secretary of the Following Corporations:

- Philippine National Oil Company
- Philippine Export and Foreign Loan Guarantee Corporation
- National Steel Corporation and Subsidiary Companies
- Cultural Center of the Philippines
- National Development Company
- Philippine Phosphate Fertilizer Corp.
- Philippine International Trading Corporation
- ASEAN Copper Products, Inc.
- Center for International Trade Expositions and Missions, Inc.
- Philippine Aerospace Development Corporation and its subsidiaries

-Former Member of the National Commission on the Role of Filipino Women

-Former President and Director of the Women Lawyer Circle (WLLCI)

-Had written/published articles here and abroad on the research areas of specialization, *e.g.*, technology transfer, investment regulations in the Philippines, corporate governance and reforms in securities regulation.

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